



Filing ID #10022267

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: James P. Gray
Status: Congressional Candidate
State/District: KY06

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/15/2018
Period Covered: 01/01/2017– 04/30/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|-----------------------|--------------------|-------------------------------|-----------------------|
| BBR Partners ⇒ BBR Private Investment Fund - Series C, L.P. [HE] | | \$15,001 - \$50,000 | Partnership Income | None | \$5,001 - \$15,000 |
| BBR Partners ⇒ BBR Private Investment Fund - Series D, L.P. [HE] | | \$15,001 - \$50,000 | Partnership Income | None | \$2,501 - \$5,000 |
| BBR Partners ⇒ BBR Real Estate (I), L.P. [RP] | | \$15,001 - \$50,000 | Partnership Income | None | \$15,001 - \$50,000 |
| LOCATION: Melville, NY, US | | | | | |
| City National Bank Money Market [BA] | | \$50,001 - \$100,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| DESCRIPTION: Personal money market/checking account Lexington, KY | | | | | |
| City National Bank Money Market (Trust) [BA] | | \$250,001 - \$500,000 | Interest | \$201 - \$1,000 | \$1,001 - \$2,500 |
| Fifth Third PCA Bank [BA] | | \$100,001 - \$250,000 | Interest | \$201 - \$1,000 | \$1,001 - \$2,500 |
| DESCRIPTION: Personal checking account | | | | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------------|--------------------|-------------------------------|-------------------------|
| Franklin Farm [FA] | | \$100,001 - \$250,000 | None | | |
| LOCATION: Franklin, KY, US | | | | | |
| DESCRIPTION: 50% ownership in real estate holding non-functioning farm Glasgow, Kentucky | | | | | |
| Gray Realty, LLC ⇒ Commercial Investment Property [RP] | | \$1,000,001 - \$5,000,000 | Rent | \$5,001 - \$15,000 | \$100,001 - \$1,000,000 |
| LOCATION: Lexington, KY, US | | | | | |
| Gray, Inc. [OL] | | \$5,000,001 - \$25,000,000 | Partnership Income | None | \$100,001 - \$1,000,000 |
| LOCATION: Lexington/Fayette, KY, US | | | | | |
| DESCRIPTION: Design-Build Construction Firm | | | | | |
| ICMA-RC 457 plan ⇒ VT ContraFund [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | |
| ICMA-RC 457 plan ⇒ VT VantagePoint Agressive Ops [MF] | | \$100,001 - \$250,000 | Tax-Deferred | | |
| James P Gray II GSTT Trust ⇒ Amgen Inc. (AMGN) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Apple Inc. (AAPL) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Bristol-Myers Squibb Company (BMY) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Chevron Corporation (CVX) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Coca-Cola Company (KO) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Colgate-Palmolive Company (CL) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Coty Inc. Class A (COTY) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Express Scripts Holding Company (ESRX) [ST] | | \$15,001 - \$50,000 | Capital Gains | None | \$201 - \$1,000 |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------------|----------------|-------------------------------|-----------------------|
| James P Gray II GSTT Trust ⇒ Exxon Mobil Corporation (XOM) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Facebook, Inc. - Class A (FB) [ST] | | \$15,001 - \$50,000 | None | | |
| James P Gray II GSTT Trust ⇒ Federated Treasury Obligations Fund [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Home Depot, Inc. (HD) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ JP Morgan Chase & Co. (JPM) [ST] | | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Marriott International - Class A (MAR) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Merck & Company, Inc. Common Stock (MRK) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Microsoft Corporation (MSFT) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Procter & Gamble Company (PG) [ST] | | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Starbucks Corporation (SBUX) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | \$201 - \$1,000 |
| James P Gray II GSTT Trust ⇒ Visa Inc. (V) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Walgreens Boots Alliance, Inc. (WBA) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Walt Disney Company (DIS) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| James P Gray II GSTT Trust ⇒ Zoetis Inc. Class A (ZTS) [ST] | | \$15,001 - \$50,000 | Dividends | \$1 - \$200 | \$1 - \$200 |
| Kentucky Retirement System/County Employee | | \$50,001 - | Tax-Deferred | | |

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|---|-------|---------------------|----------------|-------------------------------|-----------------------|
| Retirement System ⇒ KRS/CERS Non-Hazardous Employee Pension [PE] | | \$100,000 | | | |
| MSD European Opportunity Fund, LP [HE] | | \$1,001 - \$15,000 | None | | |
| PNC Bank Checking [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| DESCRIPTION: Personal checking account | | | | | |
| Scottrade/TD Ameritrade IRA ⇒ AG Mortgage Investment Trust, Inc. 8.25% Preferred Series A (MITT\$A) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Alliancebernstein Global High Income Fund (AWF) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Annaly Capital Management Inc 7.625% Series C Cumulative Redeemable Preferred Stock (NLY\$C) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Annaly Capital Management Inc Preferred Series D (NLY\$D) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Ashford Hospitality Trust Inc 8.45% Series D Cumulative Preferred Stock (AHT\$D) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Banco Santander, S.A. 6.41% Non-Cumulative Guaranteed Preferred Securities, Series 1 (SAN\$I) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Banco Santander, S.A. Floating Rate Non-cumulative Series 6 Guaranteed Preferred Securities (SAN\$B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Banco Santander, S.A. Sponsored ADR (SAN) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Bank of America Corporation (BAC) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ BB&T Corporation Depositary Shares Representing 1/1000th Interest Series F Perpetual Preferred (BBT\$F) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Scottrade/TD Ameritrade IRA ⇒ Blackrock Corporate High Yield Fund, Inc. (HYT) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ BlackRock Credit Allocation Income Trust (BTZ) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Blackrock Enhanced International Dividend Trust (BGY) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Blackrock Municipal Bond Trust (BBK) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Blackrock MuniHoldings New Jersey Quality Fund, Inc. (MUJ) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ BlackRock Taxable Municipal Bond Trust Common Shares of Beneficial Interest (BBN) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ BP p.l.c. (BP) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Brookfield Real Assets Income Fund Inc. (RA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Capstead Mortgage Corporation Pfd Ser E (CMO\$E) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Chatham Lodging Trust (CLDT) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Cohen & Steers Quality Income Realty Fund Inc Common Shares (RQI) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Colony NorthStar, Inc. 8.25% Series B cumulative redeemable perpetual preferred stock (CLNS\$B) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Comcast Corporation - Class A (CMCSA) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|----------------------|----------------|-------------------------------|-----------------------|
| Scottrade/TD Ameritrade IRA ⇒ Deutsch Bk Contingent Cap Tr V Tr Pfd Secs (DKT) [ST] | | \$50,001 - \$100,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Dynex Capital, Inc. Preferred Series B (DX\$B) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Energy Transfer Partners, L.P. Common Units representing limited partner interests (ETP) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ First Trust Intermediate Duration Preferred & Income Fund Common Shares of Beneficial Interest (FPF) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Five Oaks Investment Corp. 8.75% Series A Cumulative Redeemable Preferred Stock (OAKS\$A) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Great Ajax Corp. (AJX) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Invesco Advantage Municipal Income Trust II Common Shares of Beneficial Interest (VKI) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ John Hancock Premium Dividend Fund (PDT) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ KKR & Co. L.P. Common Units Representing Limited Partnership Interest (KKR) [ST] | | None | Tax-Deferred | | |
| COMMENTS: sold during reporting period - no longer held (as of 1/31/18) | | | | | |
| Scottrade/TD Ameritrade IRA ⇒ Ladenburg Thalmann Financial Services Inc 8.00% Series A Cumulative Redeemable Preferred Stock, Liqui (LTS\$A) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ MFA Financial, Inc. Preferred Series B (MFA\$B) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ MFS Municipal Income Trust (CMU) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ | | None | Tax-Deferred | | |

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|--|-------|---------------------|----------------|-------------------------------|-----------------------|
| Morgan Stanley Dep Shs repstg 1/1000 Pfd Ser A (MS\$A) [ST] | | | | | |
| Comments: sold on 2/15/18 | | | | | |
| Scottrade/TD Ameritrade IRA ⇒ Morgan Stanley Emerging Markets Debt Fund, Inc. (MSD) [ST] | | \$1 - \$1,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Morgan Stanley Emerging Markets Domestic Debt Fund, Inc. Morgan Stanley Emerging Markets Domestic De (EDD) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ New Residential Investment Corp. (NRZ) [ST] | | \$15,001 - \$50,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Nuveen NASDAQ 100 Dynamic Overwrite Fund - Shares of Beneficial Interest (QQQX) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Nuveen Quality Municipal Income Fund (NAD) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Omega Healthcare Investors, Inc. (OHI) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ PennantPark Investment Corporation - common stock (PNNT) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ PIMCO Dynamic Credit and Mortgage Income Fund Common Shares of Beneficial Interest (PCI) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Pimco Income Opportunity Fund Common Shares of Beneficial Interest (PKO) [ST] | | \$15,001 - \$50,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Prudential Global Short Duration High Yield Fund, Inc. (GHY) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ RenaissanceRe Holdings Ltd. (RNR) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|------------------------------|----------------|-------------------------------|-----------------------|
| Scottrade/TD Ameritrade IRA ⇒ Royal Dutch Shell PLC Royal Dutch Shell American Depository Shares (RDS.A) [ST] | | \$15,001 - \$50,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Santander Holdings USA, Inc. Dep Shs repstg 1/1000 Perp Pfd Ser C (SOV\$C) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ Seaspan Corporation Cumulative Redeemable Perpetual Preferred Series D (SSW\$D) [ST] | | \$1,001 - \$15,000 | Tax-Deferred | | |
| Scottrade/TD Ameritrade IRA ⇒ SunTrust Banks, Inc. Depository Shares Representing Perpetual Preferred Series E (STI\$E) [ST] | | None | | Tax-Deferred | |
| COMMENTS: sold as of 3/15/18 | | | | | |
| Scottrade/TD Ameritrade IRA ⇒ TD Ameritrade Cash (formerly Scottrade Cash) [IH] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Tsakos Energy Navigation Ltd Common Shares (TNP) [ST] | | \$1,001 - \$15,000 | | Tax-Deferred | |
| Scottrade/TD Ameritrade IRA ⇒ Wells Fargo & Company Dep Shs Repstg 1/1000th Int Perp Pfd Cl A (WFC\$R) [ST] | | \$15,001 - \$50,000 | | Tax-Deferred | |
| TransAmerica 401K ⇒ American Funds EuroPacific Growth Fund R6 (RERGX) [MF] | | \$100,001 - \$250,000 | | Tax-Deferred | |
| TransAmerica 401K ⇒ JHancock Disciplined Value R6 (JDVWX) [MF] | | \$100,001 - \$250,000 | | Tax-Deferred | |
| TransAmerica 401K ⇒ JPMorgan Large Cap Growth R6 (JLGMX) [MF] | | \$1,000,001 - \$5,000,000 | | Tax-Deferred | |
| TransAmerica 401K ⇒ MassMutual Select MidCap Growth (MEFZX) [MF] | | \$100,001 - \$250,000 | | Tax-Deferred | |
| TransAmerica 401K ⇒ Vanguard SmallCap Index (VSMAX) [MF] | | \$100,001 - \$250,000 | | Tax-Deferred | |
| Union Central/Ameritas Variable Annuity ⇒ | | \$50,001 - | | None | |

| Asset | Owner | Value of Asset | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|---------------------------|----------------|-------------------------------|-------------------------|
| Calvert VP S&P 500 Index Fund [MF] | | \$100,000 | | | |
| University of Kentucky Federal Credit Union [BA] | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | \$1 - \$200 |
| DESCRIPTION: Checking account | | | | | |
| Visual and Antiquity Investments [CO] | | \$1,000,001 - \$5,000,000 | Capital Gains | None | \$100,001 - \$1,000,000 |
| DESCRIPTION: visual and antiquity investments include contemporary paintings, sculptures, mixed media and rare books. maintained in Lexington, KY | | | | | |
| Woodford Realty Venture, LLC ⇒ Commercial Investment Property [RP] | | \$250,001 - \$500,000 | Rent | \$15,001 - \$50,000 | \$15,001 - \$50,000 |
| LOCATION: Versailles/Woodford, KY, US | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount Current Year to Filing | Amount Preceding Year |
|---|-------------------|-------------------------------|-----------------------|
| Lexington-Fayette Urban County Government | Salary | \$46,363.46 | \$143,105.00 |
| Gray, Inc. | Salary plus bonus | \$34,615.35 | \$157,553.20 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|--|-----------------------------------|---------------|---|---------------------------|
| | City National Bank, Lexington, KY | 9/28/16 | personal short-term loan at prime rate | \$1,000,001 - \$5,000,000 |
| COMMENTS: paid in full as of 6/27/17 | | | | |
| | City National Bank, Lexington, KY | 3/30/16 | secured loan (at prime interest rate) | \$500,001 - \$1,000,000 |
| COMMENTS: remaining balance as of 4/30/18 = \$425,000.00 | | | | |
| | City National Bank, Lexington, KY | 9/1/16 | personal short-term loan at prime rate | \$250,001 - \$500,000 |
| COMMENTS: paid in full 5/31/17. | | | | |
| | 5/3 Bank, Lexington, KY | 10/2015 | line of credit at an interest rate of prime +1% | \$250,001 - \$500,000 |

| Owner Creditor | Date Incurred | Type | Amount of Liability |
|---|----------------------|---|----------------------------|
| COMMENTS: \$0.00 balance; credit line renewed as of 1/1/18. | | | |
| PNC Bank, Lexington, KY | 11/3/16 | line of credit at an interest rate of prime +1%, three year term through 11/2/19. | \$250,001 - \$500,000 |
| COMMENTS: paid in full as of 7/17/17. Remains open through 11/2/19. | | | |
| City National Bank | August 2016 | loan secured by commercial real estate | \$250,001 - \$500,000 |
| COMMENTS: real estate sold and loan paid in full as of 12/31/17 | | | |

SCHEDULE E: POSITIONS

| Position | Name of Organization |
|----------------------------------|--|
| Member | Gray Realty, LLC |
| COMMENTS: January 1995 - present | |
| Member | Woodford Realty Ventures, LLC |
| COMMENTS: July 2002 - present | |
| Member | Fayette County Agricultural Extension Board |
| COMMENTS: January 2011 - Current | |
| Member | Fayette County Board of Health |
| COMMENTS: January 2011 - current | |
| Member | City Employees' Pension Fund Board |
| COMMENTS: January 2011 - current | |
| Commissioner | Housing Authority Board, Lexington, KY |
| COMMENTS: January 2011 - Current | |
| Chair and President | Police and Fire Retirement Fund Board |
| COMMENTS: January 2011 - Current | |
| Member | Public Facilities Corporation Board |
| COMMENTS: January 2011 - Current | |
| Co-Chief Elected Official | Bluegrass Area Development District Local Elected Official Board for Workforce Development |
| COMMENTS: January 2011 - Current | |
| Member | Bluegrass Area Development District Board |

| Position | Name of Organization |
|---|--|
| COMMENTS: January 2011 - Current | |
| Member | Bluegrass Area Development District Board, Executive Committee |
| COMMENTS: January 2011 - December 2013 January 2015 - June 2016 | |
| Member | Kentucky League of Cities Board |
| COMMENTS: January 2011 - December 2016 | |
| Member | Commerce Lexington Board of Directors, Executive Committee |
| COMMENTS: January 2011 - Current | |

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- BBR Partners
LOCATION: US
- Gray Realty, LLC
LOCATION: Lexington/Fayette, KY, US
- ICMA-RC 457 plan
- James P Gray II GSTT Trust
- Kentucky Retirement System/County Employee Retirement System
- Scottrade/TD Ameritrade IRA
- TransAmerica 401K
- Union Central/Ameritas Variable Annuity
- Woodford Realty Venture, LLC
LOCATION: Lexington, KY, US

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: James P. Gray , 05/15/2018